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### Greenhouse gas emissions and reactive nitrogen releases from rice production with simultaneous incorporation of wheat straw and nitrogen fertilizer

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Abstract. Impacts of simultaneous inputs of crop straw and nitrogen (N) fertilizer on greenhouse gas (GHG) emissions and N losses from rice production are not well understood. A 2-year field experiment was established in a rice—wheat cropping system in the Taihu Lake region (TLR) of China to evaluate the GHG intensity (GHGI) as well as reactive N intensity (NrI) of rice production with inputs of wheat straw and N fertilizer. The field experiment included five treatments of different N fertilization rates for rice production: 0 (RN0), 120 (RN120), 180 (RN180), 240 (RN240), and 300 kg N ha<sup>-1</sup> (RN300, traditional N application rate in the TLR). Wheat straws were fully incorporated into soil before rice transplantation. The meta-analytic technique was employed to evaluate various Nr losses. Results showed that the response of rice yield to N rate successfully fitted a quadratic model, while N fertilization promoted Nr discharges exponentially (nitrous oxide emission, N leaching, and runoff) or linearly (ammonia volatilization). The GHGI of rice production ranged from 1.20 (RN240) to  $1.61~kg~CO_2$  equivalent (CO<sub>2</sub> eq)  $kg^{-1}$  (RN0), while NrI varied from 2.14 (RN0) to  $10.92~g~N~kg^{-1}$  (RN300). Methane (CH<sub>4</sub>) emission dominated the GHGI with a proportion of 70.2-88.6 % due to direct straw incorporation, while ammonia (NH<sub>3</sub>) volatilization dominated the NrI with proportion of 53.5-57.4 %. Damage costs to environment incurred by GHG and Nr releases from current rice production (RN300) accounted for 8.8 and 4.9 % of farmers' incomes, respectively. Cutting N application rate from 300 (traditional N rate) to 240 kg N ha<sup>-1</sup> could improve rice yield and nitrogen

use efficiency by 2.14 and 10.30%, respectively, while simultaneously reducing GHGI by 13 %, NrI by 23 %, and total environmental costs by 16 %. Moreover, the reduction of 60 kg N ha<sup>-1</sup> improved farmers' income by CNY 639 ha<sup>-1</sup>, which would provide them with an incentive to change the current N application rate. Our study suggests that GHG and Nr releases, especially for CH<sub>4</sub> emission and NH<sub>3</sub> volatilization, from rice production in the TLR could be further reduced, considering the current incorporation pattern of wheat straw and N fertilizer.

### 1 Introduction

Rice is the staple food for the majority of the world's population. However, while it is an industry used to feed the global population, rice production is an important source of greenhouse gas (GHG) emissions and reactive nitrogen (Nr) releases (Yan et al., 2009; Chen et al., 2014). Rice production in China involves heavy methane (CH<sub>4</sub>) emissions due to the water regime managements (e.g., continuous flooding in some regions) and straw incorporation practices (e.g., direct incorporation without any pretreatments; Yan et al., 2009). Furthermore, lower nitrogen use efficiency for rice cultivation in China (approximately 31%) aggravates the release of various Nr species, thus threatening ecosystem functions (Galloway et al., 2008; Zhang et al., 2012). Such a dilemma highlights the need for the simultaneous evaluation of GHG emissions and Nr losses for rice production in China. Rice

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cultivation in intensive agricultural regions, characterized by high inputs of N fertilizer and crop residues, should be prioritized for the implementation of such evaluation (Ju et al., 2009; Chen et al., 2014).

The Taihu Lake region (TLR) is one of the most productive areas for rice production in China, largely owing to the popularity of intensive cultivation (Zhao et al., 2012a, b). Currently, rice yield of this region in some fields can reach up to 8000 kg ha<sup>-1</sup> or even higher (Ma et al., 2013; Zhao et al., 2015). However, these grain yields are achieved with a cost to environment (Ju et al., 2009). TLR generally receives 550- $600 \,\mathrm{kg} \,\mathrm{N} \,\mathrm{ha}^{-1} \,\mathrm{yr}^{-1}$ , with the rice-growing season accounting for nearly  $300 \,\mathrm{kg} \,\mathrm{N} \,\mathrm{ha}^{-1}$  (Zhao et al., 2012b). Aside from these excessive N inputs, TLR also experiences high amounts of crop residue incorporation, which is highly encouraged by local governments (Xia et al., 2014). However, direct straw incorporation before rice transplantation triggers substantial CH<sub>4</sub> emissions (Ma et al., 2009, 2013). Besides such substantial releases of Nr and GHG in a direct way, indirect releases during the production of various agricultural materials used for farming operations are also not ignorable, due to higher input rates of these materials caused by intensive cultivation (Zhang et al., 2013; Cheng et al., 2014). This warrants the need for life-cycle assessment (LCA) of GHG emissions and Nr releases with respect to rice production in this region.

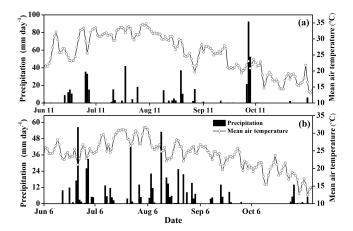
Considerable environmental costs can be caused by the direct and indirect releases of GHG and Nr from rice production in the TLR, for instance, in the form of global warming, water eutrophication, or soil acidification (Ju et al., 2009; Xia and Yan, 2011, 2012). Previous studies have proven that environmental costs assessment could provide guidance for emerging policy priorities in mitigating certain GHG or Nr species, after quantifying both their release amounts and damage costs to ecosystems (Gu et al., 2012). However, few studies have attempted to evaluate the total GHG and Nr releases and the associated environmental costs from rice production, with high inputs of N fertilizer and crop straw.

In the present study, we conducted 2 years of simultaneous measurements of  $CH_4$  and nitrous oxide  $(N_2O)$  emissions from a rice—wheat cropping system in the TLR to evaluate the impacts of simultaneous inputs of crop straw and N fertilizer on (1) net global warming potential (NGWP) and GHG intensity (GHGI), (2) total Nr losses and Nr intensity (NrI), and (3) environmental costs incurred by these GHG and Nr releases associated with rice production, from the perspective of LCA.

#### 2 Materials and methods

#### 2.1 Experimental site

The field experiment was conducted in a paddy rice field at Changshu Agroecological Experimental Station (31°32′93 N, 120°41′88 E) in Jiangsu province, which is lo-



**Figure 1.** Seasonal variations in the daily precipitation and the temperature during the two rice-growing seasons of **(a)** 2013 and **(b)** 2014.

cated in the TLR of China, where the cropping system is primarily dominated by summer rice ( $Oryza\ sativa\ L$ .) and winter wheat ( $Triticum\ aestivum\ L$ .) rotation. The climate of the study area is subtropical monsoon, with a mean air temperature of 16.1 °C and mean annual precipitation of 990 mm, of which 60–70 % occurs during the rice-growing season. The daily mean temperature and precipitation during two rice-growing seasons from 2013 to 2014 are shown in Fig. 1. The paddy soil is classified as Anthrosol, which develops from lacustrine sediments. The topsoil (0–20 cm) has a pH of 7.68 (H<sub>2</sub>O). The bulk density is 1.16 g cm<sup>-3</sup>, the organic C content is 20.1 g C kg<sup>-1</sup>, the total N is 1.98 g kg<sup>-1</sup>, the available P is 11.83 mg kg<sup>-1</sup>, and the available K is 126 mg kg<sup>-1</sup>.

#### 2.2 Experimental design and field management

The field experiment included five treatments of different N fertilization rates for rice production: 0 (RN0), 120 (RN120), 180 (RN180), 240 (RN240), and  $300 \, \mathrm{kg} \, \mathrm{N} \, \mathrm{ha}^{-1}$  (RN300, traditional N application rate in the TLR). Consistent with local practices, wheat straws were harvested, chopped, and fully incorporated into soil before rice transplantation in all treatments (Table 1). All of the treatments are laid out in a randomized block design with three replicates, and each plot covered an area of  $3 \, \mathrm{m} \times 11 \, \mathrm{m} \, (33 \, \mathrm{m}^2)$ .

Rice is transplanted in the middle of June and harvested at the beginning of November. N fertilizer (in the form of urea) was split into three parts during the rice-growing season:  $40\,\%$  as basal fertilizer,  $30\,\%$  as tiller fertilizer, and  $30\,\%$  as panicle fertilizer. Phosphorus (in the form of calcium superphosphate) and potassium (in the form of potassium chloride) were applied as basal fertilizer at rates of  $30\,kg\,P_2O_5\,ha^{-1}$  and  $60\,kg\,K_2O\,ha^{-1}$ , respectively. All basal fertilizers were thoroughly incorporated into the soil through plowing, while topdressing fertilizers were applied evenly to the soil surface. According to local practices, a water regime was adopted

**Table 1.** Field experimental treatments and agricultural management practices during the rice-growing seasons of 2013 and 2014 in the Taihu Lake region.

Treatment <sup>a</sup>	RN0	RN120	RN180	RN240	RN300
Chemical fertilizer	0:30:60	120:30:60	180:30:60	240:30:60	300:30:60
application rate					
$(N: P_2O_5: K_2O, kg ha^{-1})$					
Split N application ratio	_	4:3:3	4:3:3	4:3:3	4:3:3
Straw application rate (t dry matter $ha^{-1}$ )	3.94/2.88 <sup>b</sup>	4.49/4.65	4.93/5.18	5.33/5.87	5.81/6.17
Water regime <sup>c</sup>	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M
Density $(10^4 \text{ plants ha}^{-1})$	2.5	2.5	2.5	2.5	2.5

<sup>&</sup>lt;sup>a</sup> RN0, RN120, RN180, RN240, and RN300 represent N application rates of 0, 120, 180, 240, 300 kg N ha<sup>-1</sup>, respectively. <sup>b</sup> 3.94/2.88 denotes that straw application rates during the rice-growing seasons of 2013 and 2014 are 3.94 and 2.88 t dry matter ha<sup>-1</sup>, respectively. <sup>c</sup> F, flooding; D, mid-season drainage; M, moist but non-waterlogged by intermittent irrigation.

with flooding followed by mid-season drainage, which is in turn followed by flooding and moist but non-waterlogged by intermittent irrigation. Details of the specific agricultural management practices for rice production are provided in Table 1.

## 2.3 Gas fluxes and topsoil organic carbon sequestration rate

The  $CH_4$  and  $N_2O$  fluxes during the rice-growing seasons of 2013 and 2014 were measured using a static chamber and gas chromatography technique. Details of the procedures used for sampling and analysis the gases are described in Xia et al. (2014).

Generally, it takes long-term observations over years to decades before the soil organic carbon (SOC) change is detectable (Yan et al., 2011). The SOC content changes in the short-term field experiment could not be correctly measured, due to the high variability in SOC during the preliminary several years of the experiment. Therefore, we used the following relationship between the straw input rate (kg C ha<sup>-1</sup> yr<sup>-1</sup>) and SOC sequestration rate (SOCSR, kg C ha<sup>-1</sup> yr<sup>-1</sup>), obtained through an ongoing long-term straw application experiment in the same region, to calculate the SOCSR in this study (Xia et al., 2014):

SOCSR = straw input rate 
$$\times 0.0603 + 31.39$$
  
( $R^2 = 0.92$ ). (1)

This ongoing long-term field experiment is also taking place at the Changshu Agroecological Experimental Station (since 1990), which includes three straw application levels: 0, 4.5 t, and 9.0 t dry weight ha<sup>-1</sup> yr<sup>-1</sup>. The Eq. (1) was established based on the results of 22 years of observation (Xia et al., 2014). Same agricultural management practices were applied to the ongoing long-term experiment and the experiment of this study.

## 2.4 Net global warming potential and greenhouse gas intensity

The net global warming potential (NGWP, kg CO<sub>2</sub> eq ha<sup>-1</sup>) and greenhouse gas intensity (GHGI, kg CO<sub>2</sub> eq kg<sup>-1</sup>) of rice production in the TLR were calculated using the following equations:

$$NGWP = \sum_{i=1}^{m} AI_{ico_2} + CH_4 \times 25 + N_2O \times 44/28$$
$$\times 298 - SOCSR \times 44/12, \tag{2}$$

$$GHGI = NGWP/rice yield, (3)$$

where  $AI_{ico_2}$  denotes the GHG emissions from the production and transportation of agricultural inputs, which are calculated by multiplying their application rates by their individual GHG emission factors, such as synthetic fertilizers, diesel oil, electricity, and pesticides (Liang, 2009; Zhang et al., 2013).  $CH_4$  (kg  $CH_4$  ha<sup>-1</sup>),  $N_2O$  (kg N ha<sup>-1</sup>), and SOCSR (kg C ha<sup>-1</sup> yr<sup>-1</sup>) represent the  $CH_4$  and  $N_2O$  emissions from rice production and the SOC sequestration rate, respectively.

### 2.5 Total Nr losses and Nr intensity

The total Nr losses  $(kg N ha^{-1})$  and Nr intensity (NrI,  $g N kg^{-1}$ ) were calculated using the following equations:

$$\begin{aligned} \text{Total Nr losses} &= \sum\nolimits_{i=1}^{m} \text{AI}_{iN_r} \\ &+ (\text{NH}_3 + \text{N}_2\text{O} + \text{N}_{\text{leaching}} + \text{N}_{\text{runoff}})_{\text{rice}}; \end{aligned} \tag{4}$$

NH<sub>3</sub> volatilization = 
$$0.17 \times N_{rate} + 0.64$$
, (5)

$$N \operatorname{runoff} = 5.39 \times \operatorname{Exp} (0.0054 \times N_{\text{rate}}), \tag{6}$$

N leaching = 
$$1.44 \times \text{Exp} (0.0037 \times \text{N}_{\text{rate}}),$$
 (7)

$$NrI = (1000 \times Total \ Nr \ losses)/rice yield,$$
 (8)

where  $AI_{i_{Nr}}$  denotes the Nr lost (mainly through  $N_2O$  and  $NO_x$  emissions) from the production and transportation of agricultural inputs (Liang, 2009; Zhang et al., 2013), while (NH<sub>3</sub>+N<sub>2</sub>O+N<sub>leaching</sub>+N<sub>runoff</sub>)<sub>rice</sub> represents the NH<sub>3</sub>

volatilization,  $N_2O$  emissions, N leaching, and runoff during the rice-growing season.  $N_{rate}$  represents the N fertilizer application rate. Nr empirical models (Eqs. 5, 6, 7) are derived from a meta-analysis of published literature concerning Nr losses from rice production in the TLR. Specific details regarding this literature survey are provided in the Supplement.

## 2.6 Total environmental costs incurred by GHG and Nr releases and farmers' income

The total environmental costs (CNY ha<sup>-1</sup>) incurred by GHG and Nr releases and farmers' income from rice production in the TLR were calculated based on the following equations:

Environmental costs = 
$$\sum_{i=1}^{n} (Nr_i A \times DC_i) + CO_2 A \times DC_{CO_2},$$
 (9)

Farmer's income = rice yield 
$$\times$$
 rice price  
- input costs. (10)

 $Nr_i A$  (kg N) represents the release amounts of certain Nr species (*i*) and  $DC_i$  (CNY kg<sup>-1</sup> N) denotes the damage cost (DC) per kg of certain Nr (*i*).  $CO_2A$  (t) and  $DC_{CO_2}$  (CNY t<sup>-1</sup>) represent the  $CO_2$  emissions amount and global warming cost of  $CO_2$ , respectively.  $N_2O$  is both a GHG and Nr species, but its environmental cost was calculated as a GHG here. Because the cost of  $N_2O$  emission as Nr species is to damage human health (Gu et al., 2012), but the effects of Nr losses on the damage costs of human health were not included in this study. The environmental costs mainly refer to the global warming incurred by GHG emissions, soil acidification incurred by NH<sub>3</sub> and  $NO_x$  emissions, and aquatic eutrophication caused by NH<sub>3</sub> emissions, N leaching, and runoff (Xia and Yan, 2012).

### 2.7 Nitrogen use efficiency and N<sub>2</sub>O emission factor

Nitrogen use efficiency (NUE) and the  $N_2O$  emission factor (EF<sub>d</sub>%) were respectively calculated by the following equations (Ma et al., 2013; Yan et al., 2014):

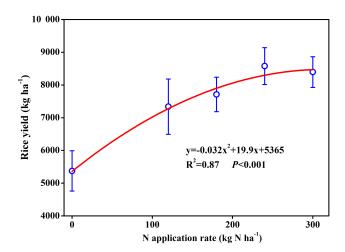
$$NUE = (U_N - U_0)/F_N, (11)$$

$$EF_d \% = (E_N - E_0)/F_N,$$
 (12)

where  $U_N$  is the grain N uptake (kg ha<sup>-1</sup>) measured in grain at physiological maturity in the N fertilization treatments, while  $U_0$  is the N uptake measured in grain in the treatment without N fertilizer addition (RN0).  $E_N$  denotes the cumulative N<sub>2</sub>O emissions in the N fertilization treatments, while  $E_0$  denotes the N<sub>2</sub>O emissions in the RN0.  $F_N$  represents the application rate of N fertilizer. The N uptake in straw and grain was analyzed via concentrated sulfuric acid digestion and the Kjeldahl method (Zhao et al., 2015).

#### 2.8 Statistical analysis

Differences in seasonal CH<sub>4</sub>, N<sub>2</sub>O emissions, and rice yield of the two rice-growing seasons from 2013 to 2014 affected



**Figure 2.** Relationship between N fertilizer application rate and average rice yield over the two rice-growing seasons of 2013 and 2014 in the Taihu Lake region. The vertical bars represent standard errors.

by fertilizer treatments, year, and their interaction were examined by using a two-way analysis of variance (ANOVA; Table 2). The grain yield, seasonal CH<sub>4</sub>, and N<sub>2</sub>O emissions, SOCSR and GHGI of different treatments were tested by ANOVA, and mean values were compared by least significant difference (LSD) at the 5 % level. All these analyses were carried out using SPSS (version 19.0, USA).

#### 3 Results and discussion

#### 3.1 Rice yield and NUE

The two-way ANOVA analyses indicated that the rice grain yields were significantly affected by the year and fertilizer treatment (Table 2). The farmers' practice plot (RN300) had an average rice grain yield of 8395 kg ha<sup>-1</sup>, with an NUE of 31.35 %, over the two growing seasons from 2013 to 2014. Compared with RN300, reducing the N fertilizer rate by 20 % (RN240) slightly improved the grain yield and NUE to 8576 kg ha<sup>-1</sup> and 34.58 %, respectively. Further N reduction, without additional agricultural managements, could decrease the rice yield by 8.15 % (RN180) and 15.18 % (RN120; Table 3). The response of rice yield to the synthetic N application rate in our study successfully fitted a quadratic model (Fig.2), as has been reported in previous studies (Xia and Yan, 2012; Cui et al., 2013a). Reducing N application reasonably, therefore, is considered essential to reduce environmental costs, without sacrificing grain yield (Chen et al., 2014). Our study showed that lowering the N input adopted by local farmer  $(300 \text{ kg N ha}^{-1})$  by 20 % could still enhance the grain yield and NUE. However, a further reduction of N by 40 % (RN180) would largely impair the rice yield (Table 3).

Further reduction in N fertilizer may be achieved with improvements of agricultural managements. Ju et al. (2009)

**Table 2.** Two-way ANOVA for the effects of fertilizer (F) application and year (Y) on  $CH_4$  and  $N_2O$  emissions, and rice grain yields in rice paddies.

		${ m CH_4~(kgha^{-1})}$ ${ m N_2O~(kgNha^{-1})}$				Yield	(kg ha <sup>-1</sup>	1)		
Factor	$\mathrm{d}f$	SS	F	P	SS	F	P	SS	F	P
F	4	8739	0.79	0.55	0.33	12.46	< 0.01	39 297 547	32.96	< 0.01
Y	1	4492	1.62	0.22	0.11	16.41	< 0.01	2810414	9.43	< 0.01
$F \times Y$	4	2532	0.23	0.92	0.18	7.1	< 0.01	750 639	0.63	0.65
Model	9	15 763	0.63	0.77	0.62	10.52	< 0.01	42 858 600	15.97	< 0.01
Error	16	20			0.13			5 962 260		

**Table 3.** Rice yield and nitrogen use efficiency (NUE) for the two rice-growing seasons from 2013 to 2014 in the Taihu Lake region.

Year	Treatment <sup>a</sup>	Yield	NUE
		$(kg ha^{-1})$	(%)
2013	RN0	$4829 \pm 207$	_
	RN120	$7079 \pm 645$	23.40
	RN180	$7655 \pm 601$	28.12
	RN240	$8273 \pm 569$	33.61
	RN300	$8029 \pm 101$	30.63
2014	RN0	$5919 \pm 131$	_
	RN120	$7598 \pm 1077$	23.86
	RN180	$7768 \pm 570$	21.19
	RN240	$8880 \pm 435$	35.54
	RN300	$8761 \pm 369$	32.07
Two-year average	RN0	$5374 \pm 617d^{b}$	_
	RN120	$7339 \pm 843c$	23.63
	RN180	$7711 \pm 527bc$	24.66
	RN240	$8576 \pm 562a$	34.58
	RN300	$8395 \pm 468ab$	31.35

<sup>&</sup>lt;sup>a</sup> Definitions of the treatment codes are given in the footnotes of Table 1.

reported that, based on knowledge-based N managements, such as optimizing N fertilizer source, rate, timing, and place (in accordance with crop demand), rice grain yield in the TLR was not significantly affected by a 30-60 % N saving, while various Nr losses would experience a two-fold curbing. Similarly, Zhao et al. (2015) found that the NUE could be improved from 31 to 44 %, even under a N reduction of 25 % for rice production in the TLR, through the implementation of integrated soil-crop system managements. In the present study, the NUE was improved by 10 via a 20 % N reduction, but it still falls behind the NUE values in the studies which received knowledge-based N managements. Previous studies have proven that straw incorporation exerted little impacts on grain yield. For instance, a meta-analysis conducted by Singh et al. (2005) found that incorporation of crop straw produced no significant trend in improving crop yield in rice-based cropping systems. Moreover, based on a long-term straw incorporation experiment established in 1990 in the TLR, Xia et al. (2014) reported that long-term incorporation of wheat straw only increased the rice yield by 1 %. Therefore, in the present study, the effects of straw incorporation on rice yield were considered inappreciable.

#### 3.2 CH<sub>4</sub>, N<sub>2</sub>O emissions and SOSCR

Over the two rice-growing seasons from 2013 to 2014, all treatments showed similar patterns of CH<sub>4</sub> fluxes, albeit with large interannual variation (Fig. 3a). The seasonal average CH<sub>4</sub> emissions from all plots showed no significant difference, ranging from 289.53 kg CH<sub>4</sub> ha<sup>-1</sup> in the RN180 plot to 334.61 kg CH<sub>4</sub> ha<sup>-1</sup> in the RN120 plot (Table 4), much higher than observations conducted in the same region (Zou et al., 2005; Ma et al., 2013). This phenomenon can be attributed to the larger amounts of straw incorporation in this study (Table 1). Relative to the RN300 plot, CH<sub>4</sub> emissions from the RN240 plot decreased by 8 and 10 %, during the rice-growing season of 2013 and 2014, respectively, although this effect was not statistically significant (Table 4).

Many studies have shown a clear linear relationship between CH<sub>4</sub> emissions and the amounts of applied organic matter (OM; Shang et al., 2011; Xia et al., 2014). It is possible that the linear response of CH<sub>4</sub> emissions to OM inputs can become flat or even unobvious (Fig. S1 in the Supplement) when the OM application rates among different treatments were insignificant different (Table S1 in the Supplement). It is unsurprising that no obvious relationship between CH<sub>4</sub> emissions and N fertilizer application rates was observed in this study (Fig. S1), because the effects of N fertilization on CH<sub>4</sub> production, transportation, and oxidation are complex. For instance, N fertilization can provide methanogens with more carbon substrates in the rhizosphere of plants by stimulating the growth of rice biomass, thus promoting CH<sub>4</sub> production and transportation (Zou et al., 2005; Banger et al., 2012). On the other hand, N enrichment could also enhance the activities of methanotrophs, therefore enhancing CH<sub>4</sub> oxidation (Xie et al., 2010; Yao et al., 2013).

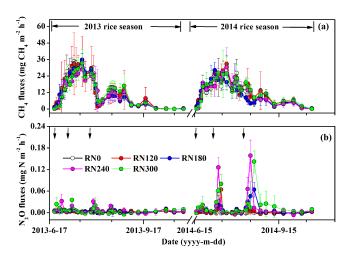
The  $N_2O$  fluxes were sporadic and pulse-like, and these fluxes showed large variations between different seasons, and the majority of the  $N_2O$  peaks occurred after the application of N fertilizer (Fig. 3b). The two-way ANOVA analyses in-

 $<sup>^{\</sup>rm b}$  Mean  $\pm {\rm SD};$  different letters within the same column indicate a significant difference at  $p\!<\!0.05.$ 

<b>Table 4.</b> The net global warming potential (NGWP) and greenhouse gas intensity (GHGI) for the two rice-growing seasons from	m 2013 to
2014 in the Taihu Lake region.	

		CH <sub>4</sub> emission	N <sub>2</sub> O emission	SOCSR	Irrigation	N fertilizer production	Others	NGWP	GHGI
Year Treatment <sup>a</sup>		${\rm kgCH_4ha^{-1}}$	${\rm kgNha^{-1}}$	${\rm kgCha^{-1}yr^{-1}}$	kg	${\rm CO_2~eqha^{-1}}$			${\rm kgCO_2eqkg^{-1}}$
2013	RN0	$306.07 \pm 41^{b}$	$0.08 \pm 0.01$	129.58	1170	0	217	8601	1.78
	RN120	$317.26 \pm 92$	$0.10 \pm 0.01$	154.07	1170	996	265	9845	1.39
	RN180	$287.8 \pm 12$	$0.13 \pm 0.01$	171.54	1170	1494	277	9568	1.25
	RN240	$273.27 \pm 36$	$0.14 \pm 0.06$	185.50	1170	1992	291	9670	1.17
	RN300	$305.13 \pm 90$	$0.16 \pm 0.03$	196.87	1170	2490	285	10927	1.36
2014	RN0	$307.22 \pm 47$	$0.02 \pm 0.05$	129.58	1256	0	240	8711	1.47
	RN120	$351.96 \pm 28$	$0.09 \pm 0.02$	154.07	1256	996	276	10805	1.42
	RN180	$291.25 \pm 18$	$0.24 \pm 0.04$	171.54	1256	1494	280	9795	1.26
	RN240	$317.65 \pm 28$	$0.34 \pm 0.12$	185.50	1256	1992	303	10972	1.24
	RN300	$343.8 \pm 61$	$0.53 \pm 0.21$	196.87	1256	2490	301	12 169	1.39
Two-year	RN0	$306.65 \pm 39a$	$0.05 \pm 0.05$ b	129.58c	1213	0	229	8656	$1.61 \pm 0.25a$
average	RN120	$334.61 \pm 64a$	$0.09 \pm 0.02b$	154.07bc	1213	996	271	10322	$1.40 \pm 0.16b$
Č	RN180	$289.53 \pm 14a$	$0.18 \pm 0.07ab$	171.54ab	1213	1494	279	9679	$1.25 \pm 0.09$ bc
	RN240	$295.46 \pm 38a$	$0.24 \pm 0.14ab$	185.50ab	1213	1992	297	10321	$1.20 \pm 0.08$ cd
	RN300	$324.47 \pm 72a$	$0.35 \pm 0.25a$	196.87a	1213	2490	293	11550	$1.38 \pm 0.21$ bc

a Definitions of treatment codes are given in the footnotes of Table 1. b Mean ± SD; different letters within same column indicate a significant difference at p<0.05.



**Figure 3.** Seasonal variations in (a)  $CH_4$  and (b)  $N_2O$  fluxes during the two rice-growing seasons from 2013 to 2014 in the Taihu Lake region. The arrow indicates N fertilizer application. The vertical bars represent standard errors.

dicated that the seasonal  $N_2O$  emissions were significantly affected by the year, the fertilizer treatment, and their interactions during the rice-growing seasons (Table 2). The average  $N_2O$  emission during the two rice-growing seasons ranged from  $0.05 \, \text{kg N ha}^{-1}$  for RN0 to  $0.35 \, \text{kg N ha}^{-1}$  for RN300 (Table 4), which increased exponentially as the N fertilizer rate increased; this shows that the reduction in N fertilizer rate is an effective approach to reduce the  $N_2O$  emissions (Zou et al., 2005; Zhang et al., 2012). The average  $N_2O$  emission factors varied between 0.03 and 0.1 %, with an average of 0.07 %, which is comparable with previous studies (0.05–

0.1%) conducted in the same region (Ma et al., 2013; Zhao et al., 2015).

The rice paddies have witnessed an increase in the SOC stock as a result of straw incorporation (Table 4). The estimated topsoil (0-20 cm) SOCSR varied from 0.13 for the RN0 plot to  $0.197 \,\mathrm{t}\,\mathrm{C}\,\mathrm{ha}^{-1}\,\mathrm{yr}^{-1}$  for the RN300 plot. The empirical model established through a long-term straw incorporation study in the same region was employed to evaluate the SOCSR in this study, which likely brought uncertainty into the results of this study. Under the same agricultural managements, soil and climatic conditions, cropping systems, and straw types, it is reasonable to believe that the rates of straw C stabilizing into SOC (i.e., conversion efficiency of crop residue C into SOC) are similar between these two experiments (Mandal et al., 2008). It is reported that the conversion rates of crop straw to SOC in two main wheat/maize production regions in China, which have similar climatic conditions and agricultural practices, were very close, at 40.524 vs.  $40.607 \,\mathrm{kg} \,\mathrm{SOC}\text{-}\mathrm{C}\,\mathrm{t}^{-1}$  dry-weight straw (Lu et al., 2009). Moreover, the current estimated SOCSR for rice production in the TLR  $(0.197 \, \text{tC} \, \text{ha}^{-1})$  is comparable to the estimation of  $0.17 \,\mathrm{tC} \,\mathrm{ha}^{-1} \,\mathrm{yr}^{-1}$  from Ma et al. (2013) in a study based on a paddy field experiment with OM incorporation in the same region. Therefore, we hold the opinion that the above SOCSR calculation method is appropriate, and the uncertainty incurred by this method unlikely affects the main conclusions of this study.

The magnitude of the SOC increase is variable depending on the straw incorporation method, the degree of tillage, the cropping systems, etc. (Yan et al., 2011; Huang et al., 2013). Liu et al. (2014) suggested that straw incorporation in rice-based cropping systems requires an overall consideration, due to the direct incorporation promoting substantial  $CH_4$ 

emissions. When converting to  $CO_2$  eq, the SOCSR only offsets the  $CH_4$  emissions by 6.2–9.2% in this study (Table 4). This proportion is expected to increase provided that appropriate straw incorporation method (e.g., compost straw before incorporation) and conservative tillage are adopted. Moreover, previous studies have shown that the combined adoption of conservative-tillage system with straw return had large advantages in increasing SOC stocks while reducing  $CH_4$  emissions (Zhao et al., 2015a, b).

#### 3.3 NGWP and GHGI

The average NGWP for all treatments varied from 8656 to 11 550 kg CO<sub>2</sub> eq ha<sup>-1</sup> (Table 4). CH<sub>4</sub> emissions dominated the NGWP in all treatments, with the proportion ranging from 70.23 % to 88.56 %, while synthetic N fertilizer production was the secondary contributor (Table 4). In addition, SOC sequestration offset the positive GWP by 5.18-6.18% in the fertilization treatments. Compared to conventional practice (RN300), the NGWP in the 20% reduction N practice (RN240) decreased by 10.64 %. Therein, 6.28 % came from CH<sub>4</sub> reduction and 4.31 % from N production savings (Table 4). The GHGI of rice production ranged from  $1.20 \,(\text{RN}240)$  to  $1.61 \,(\text{RN}0) \,\text{kg} \,\text{CO}_2 \,\text{eq} \,\text{kg}^{-1}$ , which is higher than previous estimation of  $0.24-0.74 \,\mathrm{kg}\,\mathrm{CO}_2$  eq kg<sup>-1</sup> for rice production in other rice-upland crop rotation systems (Qin et al., 2010; Ma et al., 2013). Moreover, the GHGI of current rice production in the TLR (RW300) was estimated to be 1.45 times that of the national average value estimated by Wang et al. (2014a), at 1.38 vs.  $0.95 \text{ kg CO}_2 \text{ eq kg}^{-1}$ .

Such a phenomenon was attributed to the following reasons. First, compared to above studies, current higher amounts of direct straw incorporation (2.9-6.2 t dry matter ha<sup>-1</sup>), before rice transplantation in the TLR, triggered substantial CH<sub>4</sub> emissions (290–335 kg CH<sub>4</sub> ha<sup>-1</sup>). Crop residue incorporation is regarded as a win-win strategy to benefit food security and mitigate climate change, due to the fact that it possesses a large potential for carbon sequestration (Lu et al., 2009). However, the GWP of straw-induced CH<sub>4</sub> emissions was reported to be 3.2–3.9 times that of the straw-induced SOCSR, which indicates that direct straw incorporation in paddy soils worsens rather than mitigates climate changes, in terms of GWP (Xia et al., 2014). The SOC sequestration induced by straw incorporation only offset the positive GWP by 5.2-6.2 % in this study. Sensible methods of straw incorporation should therefore be developed to reduce the substantial CH<sub>4</sub> emissions without compromising the build-up of SOC stock in the TLR.

Second, the high N application rate (300 kg N ha<sup>-1</sup>) in the TLR combined with the large emission factor of N fertilizer production, 8.3 kg CO<sub>2</sub> eq kg<sup>-1</sup> N (Zhang et al., 2013), marked the sector of N fertilizer production as the secondary contributor to the GHGI (Table 4); this sector, however, was not involved in above-mentioned studies. Compared to local farmers' practices (RN300), reducing the N rate by 20 %

(RN240) lowered the GHGI by 13 %, under the condition of straw incorporation, although this effect was not statistically significant (Table 4). Compared to RN240, however, further reduction of N rate (RN180 or RN120) increased the GHGI, due to the fact that rice yield was considerably reduced under excessive N reduction. Therefore, the joint application of reasonable N reduction and a judicious method of straw incorporation would be promising in reducing the GHGI for rice production in the TLR, in consideration of the current situation of simultaneous high inputs of N fertilizer and wheat straw.

#### 3.4 Various Nr losses and NrI

The results of the meta-analysis indicated that N<sub>2</sub>O emissions, as well as N leaching and runoff, increased exponentially with an increase in N application rate (Fig. 4bd, P < 0.01), while the response of NH<sub>3</sub> volatilization to N rates fitted the linear model best (Fig. 4a, P < 0.01). The estimated total Nr losses for all treatments varied from 39.3 to  $91.7 \,\mathrm{kg} \,\mathrm{N} \,\mathrm{ha}^{-1}$  in the fertilization treatments (Table 5), accounting for 30.1-32.8 % of N application rates. NH<sub>3</sub> volatilization dominated the NrI, with the proportion ranging from 53.5 to 57.4 %, mainly because of the current fertilizer application method (soil surface broadcast) and high temperatures in the field (Zhao et al., 2012b; Li et al., 2014). N runoff was the second most important contributor (Table 5). Using <sup>15</sup>N micro-plots combined with 3-year field measurements, Zhao et al. (2012b) reported that the total Nr losses from rice production in the TLR, under an N rate of 300 kg N ha<sup>-1</sup>, were 98 kg N ha<sup>-1</sup>, which is comparable with our estimation of 91.69 kg N ha<sup>-1</sup> in the RN300 plot. Similarly, Xia and Yan (2011) estimated the Nr losses for life-cycle rice production in this region to be around  $90 \text{ kg N ha}^{-1}$ . The high proportion (30.1–32.8 %) of the applied N fertilizer released as Nr from rice production in the TLR highlights the need to adopt reasonable N managements to increase the plant N uptake and reduce Nr losses (Ju et al., 2009).

The NrI of rice production in different plots varied between 2.14 (RN0) and  $10.92 \,\mathrm{g}\,\mathrm{N}\,\mathrm{kg}^{-1}$  (RN300), which increased significantly as the N fertilizer rate increased (Table 5). The NrI for rice production in the TLR was estimated to be  $10.92 \,\mathrm{g}\,\mathrm{N}\,\mathrm{kg}^{-1}$  (RN300), which is 68 % higher than the national average value estimated by Chen et al. (2014), as a result of higher N fertilizer input in the TLR. Under the condition of straw incorporation, reducing N application rate by 20 % pulled the NrI down to  $8.42 \text{ g N kg}^{-1}$  (RN240; Table 5). Additional N reduction could further lower the NrI, but the rice yield would be largely compromised (Table 3). Previous studies have proven that direct incorporation of crop straw had insignificant effects on various Nr releases (Xia et al., 2014). Because the majority of N contained in the crop straw is not easily degraded by microorganisms in a short-term period, and can be stabilized in soil in a long-term period, rather

Table 5. The seasonal average reactive N (Nr) losses and reactive N intensity (NrI) for the two rice-growing seasons from 2013 to 2014 in
the Taihu Lake region.

	NH <sub>3</sub> volatilization	N runoff	N leaching	N <sub>2</sub> O emission	$NO_X$ emission	Total Nr losses	NrI
Treatment <sup>a</sup>			kg N l	$na^{-1}$			$\rm gNkg^{-1}$
RN0	0.64	5.39	1.44	0.07	3.96	11.50	2.14
RN120	21.04	10.30	2.24	0.12	5.62	39.32	5.36
RN180	31.24	14.25	2.80	0.21	6.44	54.93	7.12
RN240	41.44	19.70	3.50	0.27	7.26	72.17	8.42
RN300	51.64	27.24	4.37	0.38	8.07	91.69	10.92

<sup>&</sup>lt;sup>a</sup> Definitions of treatment codes are given in the footnotes of Table 1.

**Table 6.** The economic indicators (two-season average) for rice production of the growing seasons from 2013 to 2014 in the Taihu Lake region (unit: CNY ha<sup>-1</sup>).

Treatment <sup>a</sup>	Yield income <sup>b</sup>	Input costs <sup>c</sup>	Farmer's income <sup>d</sup>	Environmental costs <sup>e</sup>	
				GHG emissions	Nr releases
RN0	16 125	4493	11632	1143	71
RN120	22 020	6104	15916	1363	376
RN180	23 130	6542	16588	1278	535
RN240	25 725	7277	18 448	1362	700
RN300	25 185	7385	17 800	1525	874

a Definitions of treatment codes are given in the footnotes of Table 1. b Yield income = rice yield × rice price. c Input costs denote the economic input of purchasing various agricultural materials and hiring labor. d Farmer's income = yield income − input costs. c Environmental costs denoted the sum of the acidification costs, eutrophication costs, and global warming costs incurred by GHG emissions and Nr releases. The cost prices of GHG and Nr releases are as follows: GHG emission, CNY 132 t<sup>−1</sup> CO<sub>2</sub> eq (Xia et al., 2014); NH<sub>3</sub> volatilization, CNY 13.12 kg<sup>−1</sup> N; N leaching, CNY 6.12 kg<sup>−1</sup> N; N runoff, CNY 3.64 kg<sup>−1</sup> N; NO<sub>7</sub> emission, CNY 8.7 kg<sup>−1</sup> N (Xia and Yan, 2011).

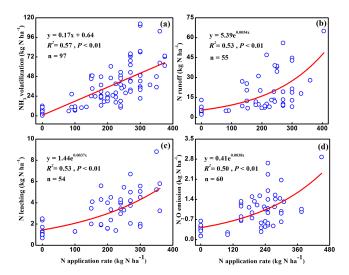
than being released as various Nr (Huang et al., 2004; Xia et al., 2014). For instance, a meta-analysis, integrating 112 scientific assessments of the crop residue incorporation on the  $N_2O$  emissions, has reported that the practice exerted no statistically significant effect on the  $N_2O$  releases (Shan and Yan, 2013). Therefore, the effects of wheat straw incorporation on various Nr losses were considered negligible in this study.

Extra attention should be paid to the interrelationship between the NrI and GHGI, which could provide clues for the purpose of mitigation. For instance, N fertilizer production and application is an intermediate link between the NrI and GHGI (Chen et al., 2014). For the NrI, N fertilization promotes various Nr releases, exponentially or linearly (Fig. 4), while N production and application made a secondary contribution to the GHGI (Table 4). Such interrelationships ought to be taken into account fully for any mitigation options pursued in order to reduce the GHG emissions and Nr discharges from rice production simultaneously (Cui et al., 2013b; Cui et al., 2014).

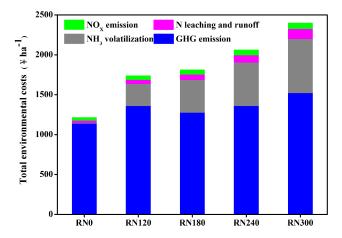
# 3.5 Economic evaluations of GHG emissions and Nr releases and their mitigation potential

The total environmental costs associated with the GHG emissions and Nr releases varied from CNY 1214 ha<sup>-1</sup> for the RN0 to CNY 2399 ha<sup>-1</sup> for the RN300, which approximately accounted for 10.44–13.47% of the farmers' income and 27.05–32.47% of the input costs (Table 6). CH<sub>4</sub> emission and NH<sub>3</sub> volatilization were the dominant contributors to the total environmental costs (Table 4 and Fig. 5). The total damage costs to environment accounted for 13.5% of farmers' income under the current rice production in the TLR (RN300). Cutting the N rate from 300 to 240 kg N ha<sup>-1</sup> slightly improved the farmers' income by 3.64%, while further N reduction would reduce the economic return of farmers (Table 6).

GHG and Nr releases from rice production in the TLR are expected to possess a large potential for mitigation, due to the current situation of direct straw incorporation and higher N fertilizer inputs. Compared to traditional practice, a reduction of N application rate from 300 to 240 kg N ha<sup>-1</sup> could alleviate 12.52 % for GHGI (Table 4), 22.94 % for NrI



**Figure 4.** Relationship between N fertilizer application rate and (a)  $NH_3$  volatilization, (b) N runoff, (c) N leaching, and (d)  $N_2O$  emissions for rice production in the Taihu Lake region. These relationships were obtained through a meta-analysis.



**Figure 5.** Seasonal average total environmental costs incurred by greenhouse gas (GHG) emissions and reactive N (Nr) losses for rice production in Taihu Lake region.

(Table 5), and 15.76% for environmental costs (Table 6). Further reduction in GHG and Nr releases (especially for CH<sub>4</sub> emissions and NH<sub>3</sub> volatilization) is possible, with the implementation of knowledge-based managements (Chen et al., 2014; Nayak et al., 2015). For the mitigation of Nr releases, switching the N fertilizer application method from surface broadcast to deep incorporation could largely lower the NH<sub>3</sub> volatilization from paddy soils (Zhang et al., 2012; Li et al., 2014). Moreover, other optimum N managements, such as applying controlled-release fertilizers and urease inhibitors, could also effectively increase the NUE and reduce the overall Nr losses (Chen et al., 2014). For the mitigation of GHG emissions, rather than being directly incorporated before rice transplantation, crop residues should be preferen-

tially decomposed under aerobic conditions or used to produce biochar through pyrolysis, which could effectively reduce CH<sub>4</sub> emissions (Linquist et al., 2012; Xie et al., 2013). Moreover, these pre-treatments are also beneficial for carbon sequestration and yield production (Woolf et al., 2010; Linquist et al., 2012).

Most previous studies have merely focused on the quantification of GHG and Nr releases from food production from the perspective of environment assessments (Zhao et al., 2012b; Ma et al., 2013; Zhao et al., 2015). The perspective of economic evaluation is seldom implemented, which goes against encouraging farmers to participate in the abatement of GHG and Nr releases on their own initiative (Xia et al., 2014). The current pattern of rice production in the TLR incurs great costs to the environment, accounting for 13.47 % of the net economic return that farmers ultimately acquire (Table 6). Such an evaluation facilitates the translation of highly specialized scientific conclusions into monetary-based information that is more familiar and accessible for farmers, and therefore likely encouraging them to adopt eco-friendly agricultural managements (Wang et al., 2014b). Profitability is generally considered the main driver for farmers to change their management approach. Compared to traditional N application rate, a reduction of 20 % would make environmental cost savings of 14 %, while simultaneously improving the economic return of farmers by CNY 648 ha<sup>-1</sup> (Table 6). This represents an incentive for farmers to optimize their N fertilizer application rates, provided that such information is available to them.

Considering the fact that no specific carbon- and Nrmitigation incentive programs, like the "Carbon Farming Initiative" in Australia (Lam et al., 2013), have been launched in China, an ecological compensation incentive mechanism should be established by governments. This should be a national subsidy program with a special compensation and award fund to cover the extra mitigation costs induced by the adoption of knowledge-based mitigation managements for farmers (Xia et al., 2016). Such a program would provide farmers with a tangible incentive, thus guiding them towards gradually adopting the mitigation managements, which could effectively curb GHG emissions and Nr losses but likely exert few positive effects on improving their net economic return (Xia et al., 2014). Examples include the composing of crop straws aerobically, or their use to produce biochar before incorporation (Xie et al., 2013), and encouraging the application of deep placement of N fertilizer (Wang et al., 2014b), as well as the application of enhanced-efficiency N fertilizers during the rice-growing season (Akiyama et al., 2010).

#### 4 Conclusions

Our results demonstrated that producing rice yield in the TLR released substantial GHG and Nr, which largely at-

tributed to the current direct straw incorporation and excessive N fertilizer inputs. CH<sub>4</sub> emissions and NH<sub>3</sub> volatilization dominated the GHG and Nr releases, respectively. Reducing N application rate by 20% from the tradition level (300 kg N ha<sup>-1</sup>) could effectively decrease the GHG emissions, Nr releases and the damage costs to the environment, while increasing the rice yield and improving farmers' income simultaneously. Agricultural managements, such as letting straw decompose aerobically before its incorporation and optimizing the application method of N fertilizer, showed large potentials to further reduce the GHG (e.g., CH<sub>4</sub> emission) and Nr releases (e.g., NH<sub>3</sub> volatilization) from rice production in this region. Further studies are needed to evaluate the comprehensive effects of these managements on GHG emissions, Nr releases, and farmers' economic returns.

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